Inverse scattering at fixed energy in Kerr-Newman-de Sitter black holes.

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Outline of the talk

- Kerr-Newman-de-Sitter black holes (rotating black holes):
 - Spacetime (\mathcal{M}, g) with g Lorentzian metric.
 - g completely characterized by M > 0 (mass), Q ∈ ℝ (electric charge), a ∈ ℝ* (angular momentum per unit mass) and Λ > 0 (cosmological constant).

"Can we determine g by observing waves at infinities?"

- Massless Dirac fields in KN-dS black holes:
 - Dirac waves: $i\partial_t u = \mathbb{D}u$, \mathbb{D} Dirac operator.
 - Scattering matrix $S_g(\lambda)$, λ a fixed energy.
- Main result:
 - $g \longrightarrow S_g(\lambda)$ is one-to-one for a fixed energy $\lambda \in \mathbb{R}$.
 - Actually, our result is better.

Kerr-Newman-de-Sitter Black Holes

• Spacetime (\mathcal{M}, g) :

$$\mathcal{M} = \mathbb{R}_t \times \Sigma, \quad \Sigma =]r_-, r_+[r \times \mathbb{S}^2_{\theta,\varphi}]$$

equipped with a Lorentzian metric

$$g = \frac{\Delta_r - \Delta_\theta a^2 \sin^2 \theta}{\rho^2} dt^2 - \frac{2a \sin^2 \theta}{E\rho^2} (\Delta_r - \Delta_\theta a^2 \sin^2 \theta) dt d\varphi$$
$$- \frac{\rho^2}{\Delta_r} dr^2 - \frac{\rho^2}{\Delta_\theta} d\theta^2 - \frac{\sin^2 \theta}{E^2 \rho^2} (\Delta_\theta (r^2 + a^2)^2 - \Delta_r a^2 \sin^2 \theta) d\varphi^2.$$

where

$$\begin{split} \rho^2 &= r^2 + a^2 \cos^2 \theta, \quad E = 1 + \frac{a^2 \Lambda}{3} > 0, \quad \Delta_\theta = 1 + \frac{a^2 \Lambda \cos^2 \theta}{3} > 0, \\ \Delta_r &= (r^2 + a^2)(1 - \frac{\Lambda r^2}{3}) - 2Mr + Q^2, \end{split}$$

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The event and cosmological horizons

• Singularities: Δ_r possesses 4 roots: $r_n < 0 < r_c < r_- < r_+$ if

(1)
$$\frac{a^2\Lambda}{3} \le 7 - 4\sqrt{3},$$

(2) $M_{crit}^- < M < M_{crit}^+$

with

$$M_{crit}^{\pm} = \frac{1}{\sqrt{18\Lambda}} \left(\left(1 - \frac{a^2\Lambda}{3}\right) \pm \sqrt{\left(1 - \frac{a^2\Lambda}{3}\right)^2 - 4\Lambda(a^2 + Q^2)} \right)^2 \left(2\left(1 - \frac{a^2\Lambda}{3}\right)^2 \mp \sqrt{\left(1 - \frac{a^2\Lambda}{3}\right)^2 - 4\Lambda(a^2 + Q^2)}\right).$$

• Cosmological and event horizons: $\{r = r_{\pm}\}$

$$\Delta_r > 0$$
 in $\{r_- < r < r_+\}$

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Properties of KN-dS black holes

- Symmetries:
 - Cylindrical symmetry (∂_{φ} Killing vector field).
 - Time-translation (∂_t Killing vector field).
- Nullcones: $\partial_r, \partial_\theta, \partial_\varphi$ everywhere spacelike in \mathcal{M} . ∂_t everywhere timelike except on the two ergospheres

$$\mathcal{E}^{\pm} = \{(r, \theta) \in \mathcal{M}, \ \Delta_r - \Delta_{\theta} a^2 \sin^2 \theta < 0\},$$

where it becomes spacelike.

• Lack of stationarity: there exists no globally defined timelike Killing vector field on \mathcal{M} .

Properties of Kerr-Newman-de-Sitter black holes

• stationary observers: observers living on the worldlines

$$r = const, \ \theta = const, \ \varphi = \omega t + const,$$

with $\omega = const$. For such observers, if $r_{-} \ll r \ll r_{+}$, then the variable *t* corresponds to their proper time.

• Principal null geodesics: the spacetime \mathcal{M} is foliated by the two families of incoming and outgoing principal null geodesics generated by

$$V^{\pm} = \frac{r^2 + a^2}{\Delta_r} \left(\partial_t + \frac{aE}{r^2 + a^2} \partial_{\varphi} \right) \pm \partial_r.$$

The principal null geodesics do not reach $\{r = r_{\pm}\}$ in a finite time t! The horizons are perceived as asymptotic regions by stationary observers.

A new radial variable

• Tortoise radial variable: $\{r = r_{\pm}\} \leftrightarrow \{x = \pm \infty\}.$

$$\frac{dx}{dr} = \frac{r^2 + a^2}{\Delta_r}$$

$$x = \frac{1}{2\kappa_{-}}\ln(r-r_{-}) + \frac{1}{2\kappa_{+}}\ln(r_{+}-r) + \frac{1}{2\kappa_{c}}\ln(r-r_{c}) + \frac{1}{2\kappa_{n}}\ln(r-r_{n}) + c,$$

• c is any constant of integration.
•
$$\kappa_j = \frac{\Delta'_r(r_j)}{2(r_j^2 + a^2)}, \ j = -, +, c, n.$$

• New framework: $\mathcal{B} = \mathbb{R}_t \times \mathbb{R}_x \times \mathbb{S}^2_{\theta,\varphi}$,

$$g = \frac{\Delta_r}{\rho^2} \left[dt - \frac{a \sin^2 \theta}{E} d\varphi \right]^2 - \frac{\rho^2 \Delta_r}{(r^2 + a^2)^2} dx^2 - \frac{\rho^2}{\Delta_{\theta}} d\theta^2 - \frac{\Delta_{\theta} \sin^2 \theta}{\rho^2} \left[a dt - \frac{r^2 + a^2}{E} d\varphi \right]^2.$$

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Massless Dirac fields in KN-dS black holes Dirac fields: $\psi \in \mathcal{H} = L^2(\mathbb{R} \times \mathbb{S}^2, dxd\theta d\varphi; \mathbb{C}^2)$ and satisfies

$$i\partial_t\psi=H\psi,$$

where

$$H=J^{-1}H_0, \qquad H_0=\Gamma^1 D_x+\mathsf{a}(x)H_{\mathbb{S}^2}+\mathsf{c}(x,D_arphi)$$

•
$$D_x = -i\partial_x$$
, $D_ heta = -i\partial_ heta$, $D_arphi = -i\partial_arphi$.

Angular Dirac operator:

$$\mathcal{H}_{\mathbb{S}^2} = \sqrt{\Delta_{ heta}} \left[\Gamma^2 D_{ heta} + \Gamma^2 rac{i \Lambda a^2 \sin(2 heta)}{12 \Delta_{ heta}} + rac{\Gamma^3}{\sin heta} D_{arphi} + \Gamma^3 rac{\Lambda a^2 \sin(heta)}{3 \Delta_{ heta}} D_{arphi}
ight].$$

• Dirac matrices: $\Gamma^i \Gamma^j + \Gamma^j \Gamma^i = 2\delta_{ij}I_2$, $\forall i, j = 1, 2, 3$.

$$\Gamma^1 = \left(\begin{array}{cc} 1 & 0 \\ 0 & -1 \end{array} \right), \quad \Gamma^2 = \left(\begin{array}{cc} 0 & 1 \\ 1 & 0 \end{array} \right), \quad \Gamma^3 = \left(\begin{array}{cc} 0 & i \\ -i & 0 \end{array} \right)$$

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Massless Dirac fields in KN-dS black holes

• Dirac equation:

$$i\partial_t\psi = H\psi, \quad H = J^{-1}H_0, \qquad H_0 = \Gamma^1 D_x + a(x)H_{\mathbb{S}^2} + c(x,D_{\varphi}).$$

• Potentials:

$$a(x) = \frac{\sqrt{\Delta_r}}{r^2 + a^2}, \quad c(x, D_{\varphi}) = \frac{aE}{r^2 + a^2} D_{\varphi} + \frac{qQr}{r^2 + a^2}.$$
$$J = I_2 + a(x)b(\theta)\Gamma^3, \quad b(\theta) = \frac{a\sin\theta}{\sqrt{\Delta_{\theta}}},$$
$$J^{-1} = (1 - a(x)^2b(\theta)^2)(I_2 - a(x)b(\theta)\Gamma^3).$$

Cylindrical symmetry: The equation is simplified by decomposing it onto the angular modes {e^{ikφ}}, k ∈ ½ + ℤ.

$$D_{\varphi} \longleftrightarrow k.$$

Asymptotics of the potentials

We recall that the constants $\kappa_{\pm} \in \mathbb{R}^{\mp}$.

• Asymptotics of a(x) :

$$a(x) \sim a_{\pm} e^{\kappa_{\pm} x}, \ x \to \pm \infty.$$

• Asymptotics of c(x, k), (c(x, k) is a long range potential)

$$c(x,k)\sim rac{aEk+qQr_{\pm}}{r_{\pm}^2+a^2}+c_{\pm}e^{2\kappa_{\pm}x},\ x
ightarrow \pm\infty,$$

• Asymptotics of J(x, .):

$$\sup_{\theta\in[0,\pi]}\|J(x,.)-I_2\|_{\infty}=O\left(e^{\kappa\pm x}\right),\ x\to\pm\infty.$$

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Scattering theory

There are two usual and different ways to define the scattering matrix $S(\lambda)$ where $\lambda \in \mathbb{R}$ is the energy. Roughly speaking :

• The time-dependent approach:

- ▶ We define the time-dependent wave operators (in a two Hilbert settings here) and the scattering operator *S*.
- ▶ We diagonalize the "free Hamiltonian" with a unitary operator \mathcal{F} and define the scattering matrix $S(\lambda) = \mathcal{F}S\mathcal{F}^*$, $\lambda \in \mathbb{R}$.
- The stationary approach (discussed in this talk):
 - ▶ We solve the stationary equation $H\psi = \lambda\psi$ using the separability of the Dirac equation.
 - The system of angular ODEs permits to define generalized spherical harmonics and to decompose the full scattering matrix S(λ) onto reduced scattering matrices S_{kl}(λ).
 - Some special solutions called the Jost solutions of the system of radial ODES encode the reduced scattering matrices S_{kl}(λ).

Theorem

For KN-dS black holes, the above definitions are equivalent.

The stationary equation

$$\begin{aligned} H\psi &= \lambda\psi \iff J^{-1}(H_0 - \lambda J)\psi = 0, \\ &\iff \left[\Gamma^1 D_x + c(x, D_{\varphi}) - \lambda + a(x)(H_{\mathbb{S}^2} - \lambda b(\theta)\Gamma^3)\right]\psi = 0, \end{aligned}$$

with $\psi \in \mathcal{H} = L^2(\mathbb{R} \times \mathbb{S}^2; \mathbb{C}^2).$

Theorem

The operators D_{φ} and $A_{\mathbb{S}^2}(\lambda) = H_{\mathbb{S}^2} - \lambda b(\theta) \Gamma^3$ possess a common basis of eigenfunctions $Y_{kl}(\lambda) \in L^2(\mathbb{S}^2, \mathbb{C}^2)$:

$$\begin{array}{rcl} \mathcal{A}_{\mathbb{S}^2}(\lambda) \, Y_{kl}(\lambda) &= & \mu_{kl}(\lambda) \, Y_{kl}(\lambda), \\ \mathcal{D}_{\varphi} \, Y_{kl}(\lambda) &= & k \, Y_{kl}(\lambda). \end{array}$$

The stationary equation

Also, we can write (separation of variables)

$$\mathcal{H} = \bigoplus_{(k,l)\in(1/2+\mathbb{Z}) imes\mathbb{N}^*} \mathcal{H}_{kl}(\lambda),$$

 $\mathcal{H}_{kl}(\lambda) = L^2(\mathbb{R}; \mathbb{C}^2) \otimes Y_{kl}(\lambda) \simeq L^2(\mathbb{R}, \mathbb{C}^2),$

such that, if $\psi = \sum_{k,l} \psi_{kl}(x) \otimes Y_{kl}(\lambda)$, the stationary equation $H\psi = \lambda\psi$, is equivalent to the countable family of one-dimensional (radial) stationary equations

$$H_{kl}(\lambda)\psi_{kl}(x) = \lambda\,\psi_{kl}(\lambda),$$

where

$$H_{kl}(\lambda) = \Gamma^1 D_x + \mu_{kl}(\lambda) a(x) \Gamma^2 + c(x,k).$$

We emphasize that $Y_{kl}(\lambda)$ and $\mu_{kl}(\lambda)$ depend on the parameters of the black hole we are looking for !

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Reduction to the short range case.

$$\left[\Gamma^{1}D_{x} + \mu_{kl}(\lambda)a(x)\Gamma^{2} + \underbrace{c(x,k)}_{\text{long-range}}\right]\psi = \lambda\psi,$$

For $k \in 1/2 + \mathbb{Z}$, we define the unitary operator

$$U_k = e^{-iC(x,k)\Gamma^1}, \quad C(x,k) = \int_{-\infty}^x [c(s,k) - \Omega_-(k)]ds + \Omega_-(k)x,$$

where
$$\Omega_{\pm}(k) = \frac{aEk+qQr_{\pm}}{r_{\pm}^2+a^2}$$
. The spinor $\phi = U_k^{-1}\psi$ satisfies
 $\left[\Gamma^1 D_x + \mu_{kl}(\lambda)V_k(x)\right]\phi = \lambda\phi,$

where

$$V_k(x) = \begin{pmatrix} 0 & a(x)e^{2iC(x,k)} \\ a(x)e^{-2iC(x,k)} & 0 \end{pmatrix}$$

Note that $V_k(x)$ now decays exponentially at both horizons $\{x = \pm \infty\}$.

The Jost functions

Set $z = -\mu_{kl}(\lambda) \in \mathbb{R}$. The stationary equation

$$\left[\Gamma^1 D_x - z V_k(x)\right] \phi = \lambda \phi,$$

possesses 2 × 2 matrix solutions $F_L(x, \lambda, z)$ and $F_R(x, \lambda, z)$ called Jost functions that have the asymptotics:

$$\begin{array}{lll} F_L(x,\lambda,k,z) &=& e^{i\Gamma^1\lambda x}(I_2+o(1)), \ x\to+\infty, \\ F_R(x,\lambda,k,z) &=& e^{i\Gamma^1\lambda x}(I_2+o(1)), \ x\to-\infty. \end{array}$$

• F_L and F_R are fundamental matrices of the stationary equation, i.e. det $F_{L/R} = 1$.

•
$$\exists A_L(\lambda, k, z) = \begin{bmatrix} a_{L1}(\lambda, k, z) & a_{L2}(\lambda, k, z) \\ a_{L3}(\lambda, k, z) & a_{L4}(\lambda, k, z) \end{bmatrix}$$
 such that
 $F_R(x, \lambda, k, z) = F_L(x, \lambda, k, z)A_L(\lambda, k, z).$

Stationary representation of $S(\lambda)$

The matrix $A_L(\lambda, k, z)$ encodes the scattering properties associated to the stationary equation

$$\left[\Gamma^1 D_x - z V_k(x)\right] \phi = \lambda \phi.$$

We define the simplified and reduced scattering matrix by

$$\hat{S}(\lambda, k, z) = \left[egin{array}{cc} \hat{T}(\lambda, k, z) & \hat{R}(\lambda, k, z) \ \hat{L}(\lambda, k, z) & \hat{T}(\lambda, k, z) \end{array}
ight],$$

where

$$\begin{split} \hat{T}(\lambda,k,z) &= \frac{1}{a_{L1}(\lambda,k,z)}, \quad \hat{R}(\lambda,k,z) = -\frac{a_{L2}(\lambda,k,z)}{a_{L1}(\lambda,k,z)}, \\ \hat{L}(\lambda,k,z) &= \frac{a_{L3}(\lambda,k,z)}{a_{L1}(\lambda,k,z)}. \end{split}$$

Stationary representation of $S(\lambda)$

The physical and global scattering matrix $S(\lambda)$ is given in terms of simplified and reduced scattering matrices by

$$S(\lambda) = \oplus_{k,l} S_{kl}(\lambda) = \oplus_{k,l} \left[egin{array}{cc} T_{kl}(\lambda) & R_{kl}(\lambda) \ L_{kl}(\lambda) & T_{kl}(\lambda) \end{array}
ight],$$

where

$$\begin{split} T_{kl}(\lambda) &= e^{-i\beta(k)}\hat{T}(\lambda,k,\mu_{kl}(\lambda)), \\ R_{kl}(\lambda) &= e^{-2i\beta(k)}\hat{R}(\lambda,k,\mu_{kl}(\lambda)), \\ L_{kl}(\lambda) &= \hat{L}(\lambda,k,\mu_{kl}(\lambda)), \end{split}$$

with

$$eta(k)=\int_{-\infty}^0 \left[c(s,k)-\Omega_-(k)
ight]ds+\int_0^{+\infty}\left[c(s,k)-\Omega_+(k)
ight]ds$$

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Scattering matrix : rearrangement

At this stage, we have constructed for all $\lambda \in \mathbb{R}$, the scattering matrix $S(\lambda)$ as a unitary operator on $L^2(\mathbb{S}^2; \mathbb{C}^2)$.

Using the cylindrical symmetry and the matrix structure of the scattering matrix, $S(\lambda)$ can be expressed as

$$S(\lambda) = \oplus_{k \in rac{1}{2} + \mathbb{Z}} S_k(\lambda), \quad S_k(\lambda) = \left[egin{array}{cc} T_k^L(\lambda) & R_k(\lambda) \ L_k(\lambda) & T_k^R(\lambda) \end{array}
ight],$$

where $T_k^{L/R}(\lambda)$, $R_k(\lambda)$ and $L_k(\lambda)$ act on $L^2((0, \pi), d\theta; \mathbb{C})$ and correspond to the transmission and reflection operators of our scattering experiment.

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We can state now the main uniqueness result of this work :

An inverse result at fixed energy

Theorem

Let (M, Q^2, a, Λ) and $(\tilde{M}, \tilde{Q}^2, \tilde{a}, \tilde{\Lambda})$ be the parameters of two a priori different KN-dS black holes. Denote by $S(\lambda)$ and $\tilde{S}(\lambda)$ the corresponding scattering matrices at a fixed energy $\lambda \in \mathbb{R}$. Assume that one of the following equalities is fulfilled

$$R_k(\lambda) = \tilde{R}_k(\lambda),$$

 $L_k(\lambda) = \tilde{L}_k(\lambda),$

as operators on $\mathcal{L} = L^2((0, \pi); \mathbb{C})$ and for two different values of $k \in \frac{1}{2} + \mathbb{Z}$. Then the parameters of the two black holes coincide, i.e.

$$M = \tilde{M}, \ a = \tilde{a}, \ Q^2 = \tilde{Q}^2, \ \Lambda = \tilde{\Lambda}.$$

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Comment: a more general result

We obtain more than only 4 parameters. Precisely,

Theorem

Under the same assumptions as in the previous Theorem, we recover in fact the function

$$\frac{\lambda-c(x,k)}{a(x)},$$

up to a diffeomorphism. Then, from the explicit forms of the potentials, we obtain $M = \tilde{M}$, $a = \tilde{a}$, $Q^2 = \tilde{Q}^2$, $\Lambda = \tilde{\Lambda}$.

In the particular case Q = 0 or if the scattering operators are known for two different energies $\lambda \in \mathbb{R}$, we get more precise results. Precisely, there exists a constant $\sigma \in \mathbb{R}$ such that

$$\widetilde{a}(x) = a(x - \sigma),$$

 $\widetilde{c}(x,k) = c(x - \sigma,k).$

Consider the class of Lorentzian metrics

$$g = T^2 \left[\frac{W^2}{Z} (dt + md\varphi)^2 - \frac{Z}{W^2} dr^2 - \frac{Z}{X^2} d\mu^2 - \frac{X^2}{Z} (adt + pd\varphi)^2 \right],$$

where

•
$$T^2 = T^2(r, \mu) > 0.$$

• $W^2 = W^2(r) > 0, \quad X^2 = X^2(\mu) > 0.$
• $m = m(\mu), \quad p = p(r).$
• $Z(r, \mu) = p(r) - am(\mu), \quad a = \text{constant}, \quad \mu = \cos(\theta).$

These Lorentzian metrics are stationary axisymmetric and possess a pair of shearfree geodesic null congruences. Moreover, their geodesic flow is completely integrable.

(Carter 1968, Debever, Kamran, McLenaghan 1983) The wave equation is separable on (M, g). The Klein-Gordon equation is separable on (M, g) iff $T^2 = 1$.

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• $m = m(\mu), \quad p = p(r).$
• $Z(r, \mu) = p(r) - am(\mu), \quad a = \text{constant}, \quad \mu = \cos(\theta).$

These Lorentzian metrics are stationary axisymmetric and possess a pair of shearfree geodesic null congruences. Moreover, their geodesic flow is completely integrable.

(Carter 1968, Debever, Kamran, McLenaghan 1983) The wave equation is separable on (M, g). The Klein-Gordon equation is separable on (M, g) iff $T^2 = 1$.

(Debever, Kamran, McLenaghan, 1984) If we assume additionally that (M, g) has type D in the Petrov classification, *i.e.* the one-form

$$\omega = rac{1}{4Z}(m'(\mu)dr+ap'(r)d\mu),$$

is closed, then the massless Dirac equation is separable on (M, g).

- This condition implies that m(μ) and p(r) must be polynomial of degree 2. For instance, p(r) = r² + a² and m(μ) = a(1 μ²).
- We recover the family of Kerr-dS-TAUB-NUT spacetime by setting

$$m(\mu) = a(1-\mu^2)+2l(1-\mu), \quad p(r) = r^2+(a+l)^2, \quad Z^2 = r^2+(a\mu+l)^2,$$

$$W^{2} = (a^{2} - l^{2} + e^{2} + g^{2}) - 2Mr + r^{2} - \Lambda \left((a^{2} - l^{2})l^{2} + (\frac{a^{2}}{3} + 2l^{2})r^{2} + \frac{r^{4}}{3} \right)$$

$$X^{2} = (1 - \mu^{2}) \left(1 + \frac{4}{3} \Lambda a l \mu + \frac{\Lambda}{3} a^{2} \mu^{2} \right).$$

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- This condition implies that $m(\mu)$ and p(r) must be polynomial of degree 2. For instance, $p(r) = r^2 + a^2$ and $m(\mu) = a(1 \mu^2)$.
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$$W^{2} = (a^{2} - l^{2} + e^{2} + g^{2}) - 2Mr + r^{2} - \Lambda \left((a^{2} - l^{2})l^{2} + (\frac{a^{2}}{3} + 2l^{2})r^{2} + \frac{r^{4}}{3} \right)$$
$$X^{2} = (1 - \mu^{2}) \left(1 + \frac{4}{3}\Lambda a l \mu + \frac{\Lambda}{3}a^{2}\mu^{2} \right).$$

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Under the assumptions:

(1) (Radial part) There exist $0 < r_- < r_+ < \infty$ such that

• for all
$$r_{-} < r < r_{+}$$
, $W^2(r) > 0$,

•
$$W^2(r_{\pm})=0$$
 and $(W^2)'(r_{\pm})
eq 0$,

•
$$W^2 \in C^2$$
.

(2) (Angular part) The angular function $X(\mu)$ should be a small perturbation of

•
$$X \in C^{\infty}(0,B)$$
, $X > 0$, $X(0) = X(B) = 0$,

X has a unique non-degenerate maximum at μ₀ ∈ (0, B).
(3) For all r ∈ (r_−, r₊), μ ∈ (0, B), we impose

$$\frac{X(\mu)}{m(\mu)} > \frac{W(r)}{p(r)}.$$

The previous uniqueness results could be generalized to (M, g) (work in progress with Alexei lantchenko).

References

The Dirac equation $i\partial_t \psi = H\psi$ can be understood as an evolution equation on the manifold $\Sigma = \mathbb{R} \times \mathbb{S}^2$ having two different ends - the event $\{x = -\infty\}$ and cosmological $\{x = +\infty\}$ horizons - which are asymptotically hyperbolic.

- Joshi, Sa Barreto (Acta Math. [2000]): "asymptotics of the metric uniquely determined from the knowledge of S(λ) (associated to the laplacian) at a fixed energy λ ∈ ℝ⁺ outside a discret set".
- Sa Barreto (Duke Math. J. [2005]): "metric uniquely determined from the knowledge of S(λ) (associated to the laplacian) for all λ ∈ ℝ⁺ except on a discrete set of energies".
- Isozaki H., Kurylev J. (Mathematical Society of Japan Memoirs, 2014): "Introduction to spectral theory and inverse problem on asymptotically hyperbolic manifolds".

Main ideas of the proof.

Recall that $Y_{kl} = (Y_{kl}^1, Y_{kl}^2)$ are the eigenfunctions of the angular operator $A_{\mathbb{S}^2}(\lambda)$.

Proposition

The operators $R_k(\lambda)R_k(\lambda)^*$, (resp. $R_k(\lambda)^*R_k(\lambda)$), on $L^2((0,\pi))$ are diagonalizable on the Hilbert basis of eigenfunctions $(Y_{kl}^1)_{l \in \mathbb{N}^*}$, (resp. $(Y_{kl}^2)_{l \in \mathbb{N}^*}$), associated to the eigenvalues $|R_{kl}(\lambda)|^2$. Moreover, the map $l \mapsto |R_{kl}(\lambda)|$ is strictly increasing for l large enough (technical point).

From the equality $R_k(\lambda) = \tilde{R}_k(\lambda)$, we can deduce that

$$\exists L > 0, \ \forall l \ge L, \quad R_{kl}(\lambda) = \tilde{R}_{kl}(\lambda), \quad Y_{kl}^j = \tilde{Y}_{kl}^j, \ j = 1, 2,$$

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up to multiplicative constants of modulus 1.

Main ideas of the proof : the Frobenius method.

Proposition

Set $\zeta = \frac{a^2\Lambda}{3}$. For all $\lambda \in \mathbb{R}$ and $(k, l) \in (\frac{1}{2} + \mathbb{N}) \times \mathbb{N}^*$, there exist constants $c_{kl}^{\lambda} \in \mathbb{C}$ such that when $\theta \to 0$

$$\begin{split} Y_{kl}(\theta,\varphi) &= c_{kl}^{\lambda} e^{ik\varphi} \Biggl\{ \left(\begin{array}{c} 0\\1 \end{array} \right) \theta^{k} + \frac{i\mu_{kl}(\lambda)}{(2k+1)\sqrt{1+\zeta}} \left(\begin{array}{c} 1\\0 \end{array} \right) \theta^{k+1} \\ &+ \left[\frac{k}{6} + \frac{\zeta}{2(1+\zeta)} + \frac{\zeta k - a\lambda}{1+\zeta} - \frac{\mu_{kl}(\lambda)^{2}}{(2k+1)(1+\zeta)} \right] \left(\begin{array}{c} 0\\1 \end{array} \right) \frac{\theta^{k+2}}{2} \\ &+ O(\theta^{k+3}) \Biggr\}. \end{split}$$

From $Y_{kl}^j = \tilde{Y}_{kl}^j$, j = 1, 2, and for two different k, we deduce that $a = \tilde{a}$, $\Lambda = \tilde{\Lambda}$. In particular,

$$orall k \in rac{1}{2} + \mathbb{Z}, \ orall l \in \mathbb{N}^*, \quad \mu_{kl}(\lambda) = ilde{\mu}_{kl}(\lambda).$$

Complexification of the angular momentum.

So, it remains to recover the mass M and the charge Q.

• We allow the physical angular momenta $\mu_{kl}(\lambda)$ to be complex. We set

$$z = -\mu_{kl}(\lambda).$$

- The Jost functions F_L(x, λ, k, z) and F_R(x, λ, k, z) extend analytically to C with respect to z.
- Similarly the scattering data A_L(λ, k, z) extend analytically to C with respect to z. Moreover, the entries of the matrix A_L(λ, k, z) satisfy:

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Lemma

$$z o a_{Lj}(\lambda,k,z) \in H(\mathbb{C}), \quad |a_{Lj}(\lambda,k,z)| \le e^{A|Rez|}, \quad A = \int_{\mathbb{R}} a(x) dx.$$

Nevanlinna class

Theorem (Nevanlinna class, Uniqueness)

A function f belongs to $N(\Pi^+)$, where $\Pi^+ = \{z \in \mathbb{C} : Re(z) > 0\}$, if it is analytic on Π^+ and if

$$\begin{split} \sup_{0 < r < 1} \int_{-\pi}^{\pi} \ln^{+} \Big| f\Big(\frac{1 - re^{i\varphi}}{1 + re^{i\varphi}}\Big) \Big| d\varphi < \infty, \\ \text{where } \ln^{+}(x) &= \begin{cases} \ln x, & \ln x \ge 0, \\ 0, & \ln x < 0. \end{cases} \\ f f \in \mathcal{N}(\Pi^{+}) \text{ satisfies } f(\alpha_{I}) = 0 \text{ with } \sum_{I=0}^{+\infty} \frac{1}{\alpha_{I}} = \infty, \text{ then } f \equiv 0 \text{ in } \mathbb{C} \end{cases}$$

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Application

Proposition

The scattering data $a_{Lj}(\lambda, k, z) \in N(\Pi^+)$.

Corollary

Under our main assumption, we have (up to multiplicative constants of modulus 1)

$$a_{Lj}(\lambda,k,\mu_{kl}(\lambda))= ilde{a}_{Lj}(\lambda,k, ilde{\mu}_{kl}(\lambda)),orall l\in\mathbb{N}^*.$$

Since
$$\mu_{kl}(\lambda) = \tilde{\mu}_{kl}(\lambda)$$
 and $\sum_{l=0}^{\infty} \frac{1}{\mu_{kl}(\lambda)} = \infty$, we get

$$a_{Lj}(\lambda, k, z) = \widetilde{a}_{Lj}(\lambda, k, z), \forall z \in \mathbb{C},$$

(up to multiplicative constants of modulus 1)

An inverse result at localized energy

Corollary

Assume that $R_k(\lambda) = \tilde{R}_k(\lambda)$ for all λ in an open interval I. Then the potentials a(x) and c(x, k) are uniquely determined.

Proof: From the assumption, we can show for instance that

$$a_{L2}(\lambda, k, z) = \alpha \tilde{a}_{L2}(\lambda, k, z), \quad \forall z \in \mathbb{C}, \ \forall \lambda \in I,$$

where $|\alpha| = 1$. This implies that

$$\hat{q}(.,k)(2\lambda) = \alpha \hat{\tilde{q}}(.,k)(2\lambda), \quad \forall \lambda \in I,$$

where $q(x, k) = e^{2iC(x,k)}a(x)$ is exponentially decreasing on \mathbb{R} . Hence

$$\hat{q}(.,k)(2\lambda) = lpha \hat{ ilde{q}}(.,k)(2\lambda), \quad \forall \lambda \in \mathbb{R},$$

and therefore

$$q(x,k) = lpha \tilde{q}(x), \quad \forall x \in \mathbb{R}.$$

An inverse result at localized energy

Taking the logarithmic derivative with respect to x, we obtain,

$$\frac{a'(x)}{a(x)} + 2ic(x,k) = \frac{\tilde{a}'(x)}{\tilde{a}(x)} + 2i\tilde{c}(x,k).$$

Thus, taking the real and imaginary parts of this equality, we have

$$a(x) = \tilde{a}(x), \quad c(x,k) = \tilde{c}(x,k).$$

End of the proof of the inverse problem at fixed energy

"Up to a Liouville transformation in the variable x", we define the 2 \times 2 matrix-valued function $P(x,\lambda,k,z)$ by

$$P(x,\lambda,k,z)\tilde{F_R}(x,\lambda,k,z) = F_R(x,\lambda,k,z).$$

Question : What can we say about $P(x, \lambda, k, z)$?

- By inverting \tilde{F}_R , (det $(\tilde{F}_R) = 1$), $z \longrightarrow P_j(x, \lambda, k, z)$ belong to $H(\mathbb{C})$, are of exponential type and are bounded on $i\mathbb{R}$.
- We calculate the asymptotics of $a_{Lj}(\lambda, k, z)$, $z \to +\infty$.
- Algebraic manipulations + uniqueness of the $a_{Lj}(\lambda, k, z)$, we can show that $z \longrightarrow P_j(x, \lambda, k, z)$ are also bounded on \mathbb{R} .
- Phragmen-Lindelöf Thm: $z \longrightarrow P_j(x, \lambda, k, z)$ are bounded on \mathbb{C} .

End of the proof

- Liouville Thm: $P_j(x, \lambda, k, z) = P_j(x, \lambda, k, 0)$ for all $z \in \mathbb{C}$.
- We calculate explicitly $P_j(x, \lambda, k, 0)$.
- Putting this last result in

$$P(x,\lambda,k,0)\widetilde{F_R}(x,\lambda,k,z) = F_R(x,\lambda,k,z).$$

we find a simple link between $\tilde{F}_R(x, \lambda, k, z)$ and $F_R(x, \lambda, k, z)$.

• Thus, we can recover (up to a diffeomorphism due to the Liouville transformation) the function

$$\frac{\lambda-c(x,k)}{a(x)},$$

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• From the explicit forms of the potentials : uniqueness of the parameters of the black hole.